# 1006 Rec'd PCT/PTO 30 AUG 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES		ATTORNEY'S DOCKET NUMBER			
DESIGNATED/ELECTED OFFICE (DO/EO/US)		701826-056380 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
CONCERNING A SUBMISSION UNDER 35 U.S.C. 3/1		10/521,868			
F	TIONAL APPLICATION NO. PCT/CA2003/001100	INTERNATIONAL FILING DATE 22 July 2003 (22.07.2003)	PRIORITY DATE CLAIMED 22 July 2002 (22.07.2002)		
	TITLE OF INVENTION PROCESS FOR INCREASING THE YIELD OF LIPID AND OMEGA-3 FATTY ACID IN SEAWEED CULTURE				
APPLICAL	NT(S) FOR DO/EO/US UNIVERSIT TREMBLAY,	TÉ DE SHERBROOKE; UNIVI Réjean; PERNET, Fabrice and l	ERSITÉ LAVAL ; RIVAL S.E.C.; BOURGET, Edwin		
Applicant	herewith submits to the United Sta	ites Designated/Elected Office (DO/EC	O/US) the following items and other information:		
1.	This is a FIRST submission of items cor	ncerning a submission under 35 U.S.C. 371			
2. 🗓	This is a SECOND or SUBSEQUENT su	ubmission of items conceming a submission	ı under 35 U.S.C. 371.		
	This is an express request to begin nation (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items		
4.	The US has been elected (Article 31).				
5.	A copy of the International Application				
		only if not communicated by the Internation	al Bureau).		
	b. has been communicated by		0.5		
6. 🗆		cation was filed in the United States Receiving			
0.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. is attached hereto.				
	a. is attached hereto.  b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (required only if not communicated by the International Bureau).				
-	b. have been communicated by the International Bureau.				
	c. have not been made; however, the time limit for making such amendments has NOT expired.				
	d. have not been made and will not be made.				
8. 🔲	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. LXI	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
, t	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	xamination Report under PCT		
Items	Items 11 to 20 below concern document(s) or information included:				
11. 🔀	An Information Disclosure Statement u	under 37 CFR 1.97 and 1.98.			
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.				
14. 🗓	An Application Data Sheet under 37 C	FR 1.76.			
15.	A substitute specification.				
16.	A power of attorney and/or change of address letter.				
17. <b></b>		ence listing in accordance with PCT Rule 1:			
19.		ational Application under 35 U.S.C. 154(d)(4			
	A second copy or the English language	e translation of the international application	under 35 0.3.0. 134(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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CATION NO. (if known see 37 CER 15)

INTERNATIONAL ACCURATIONAL

U.S. APPLICAT	ITON NO. (IT KNOWN	, see 37 CFR 1.5)	I INTERNATIONAL A	APPLICATION NO.	ATTORNEY'S DO	CKET NUMBER
	10/521,868 PCT/CA2003/001100		701826-056380			
Exp. Mail		001171US;	COPY and Response C1-C6; Return Rece		f Missing Require	ments;
The follo	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
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If the written opin by IPEA/	US indicates all cl	SA/US or the inte aims satisfy prov	mational preliminary examina isions of PCT Article 33(1)-(4	)\$0	\$	
If the written opin IPEA/US Search fee (37 C Intematic International Sea previously	indicates all claim FR 1.445(a)(2)) had onal Searching Au rch Report prepare communicated to	or the Internation as satisfy provision as been paid on the thonity ed by an ISA oth the US by the IB	nal preliminary examination re ons of PCT Article 33(1)-(4) the international application to er than the US and provided 3.	\$0 o the USPTO as an\$100 to the Office or\$400	\$	
, <u> </u>	TOTAL OF 21, 22	2 and 23 =			0.00	
Additional fee sequence electronic	e for specification e listing in complia c medium) (37 CF	and drawings file ince with 37 CFR R 1.492(j)).	d in paper over 100 sheets (c 1.821(c) or (e) or computer p s of paper or fraction thereof.	orogram listing in an		
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$ 0.00	)I
Surcharge of \$13 after the date of c	0.00 for furnishing commencement of	any of the searc	ch fee, examination fee, or the ge (37 CFR 1.492(h)).	e oath or declaration	\$ 0.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		× \$50	\$	
Independent clain	ns	-3=		× \$200	\$	
MULTIPLE DEPE	NDENT CLAIM(S	) (if applicable)		+ \$360	.\$ 0.00	) .
			TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00	7
Applicant clai	ims small entity st	atus. See 37 CFI	R 1.27. Fees above are redu	ced by 1/2.	0.00	
				SUBTOTAL =	\$ 0.00	<del></del>
Processing fee of claimed priority da			translation later than 30 mon	ths from the earliest	\$	0.00
		·	TOTA	L NATIONAL FEE =	\$	0.00
			1.21(h)). The assignment mi \$40.00 per property	ust be accompanied +	\$	0.00
	<del></del>		TOTAL I	FEES ENCLOSED =	\$	0.00
					Amount to be refunded:	\$
					Amount to be charged	\$

PTC-1390 (Rev. 07-2005)
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а. 🗆	A check in the amount of \$	to cover the above fees is ende	osed.		
ь. 🗀.	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	_ to cover the above fees.		
c. X	The Commissioner is hereby authorized to charge an Account No. 50-0850 . A duplicate copy of this s		uired, or credit any overpayment to Deposit		
d. 🗀	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
	Where an appropriate time limit under 37 CFR 1.49 nted to restore the International Application to per		revive (37 CFR 1.137(a) or (b)) must be filed		
David NIXC 100 S	LL CORRESPONDENCE TO: S. Resnick N PEABODY LLP ummer Street n, MA 02110-2131	NAME 34,235	TURE S. Resnick  TRATION NUMBER		

#### IN THE UNITED STATES RECEIVING OFFICE

International Application Number	International Filing Date	International Earliest Priority Date
PCT/CA2003/001100	22 July 2003	22 July 2002
	22.07.03	22.07.02

TITLE OF INVENTION:

PROCESS FOR INCREASING THE YIELD OF LIPID

AND OMEGA-3 FATTY ACID IN SEAWEED CULTURE

APPLICANT FOR DO/US:

UNIVERSITÉ DE SHERBROOKE; UNIVERSITÉ LAVAL

AND RIVAL S.E.C.

**INVENTORS FOR DO/US:** 

TREMBLAY, Réjean; PERNET, Fabrice; and BOURGET,

Edwin

U.S. SERIAL NO.:

10/521,868

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV 653001171 US Date of Deposit August 30, 2005

I hereby state that the following attached paper or fee

- 1. Express Mail Certificate EV 653001171 US (1 pg.);
- 2. Form PCT 1390 page 3 in duplicate (4 pp.);
- 3. COPY- Notification of Missing Requirements under 35 U.S.C. 371 (2 pp.);
- 4. Response to Notification of Missing Requirements under 35 U.S.C. 371 (2 pp.);
- 5. Executed Oath and Declaration (8 pp.);
- 6. Supplemental Application Data Sheet (8 pp.);
- 7. COPY-International Search Report (4 pp.):
- 8. Information Disclosure Statement with Forms PTO/SB/08a and b (4 pp.);
- 9. COPY-References B1 and C1-C6; and
- 10. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Linda/M

Signature of person mailing paper or fee



## Rec'd PCT/PTO 3 0 AUG 25

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Doz 1450 Alexandris, Viginia 22313-1450 www.usplu.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. Rejean Tremblay 701826-056380 10/521,868

INTERNATIONAL APPLICATION NO.

PCT/CA03/01100

I.A. FILING DATE PRIORITY DATE

07/22/2003

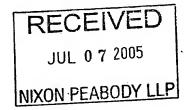
07/22/2002

**CONFIRMATION NO. 1243** 

**371 FORMALITIES LETTER** 

\*OC000000016445444\*

David S. Resnick · Nixon Peabody LLP 100 Summer Street Boston, MA 02110-2131



Date Mailed: 07/05/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/21/2005
- Copy of the International Search Report filed on 01/21/2005
- Copy of IPE Report filed on 01/21/2005
- Copy of Annexes to the IPER filed on 01/21/2005
- Preliminary Amendments filed on 01/21/2005
- Request for Immediate Examination filed on 01/21/2005
- U.S. Basic National Fees filed on 01/21/2005
- Priority Documents filed on 01/21/2005
- Specification filed on 01/21/2005
- Claims filed on 01/21/2005
- Abstracts filed on 01/21/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

reminded that any communications to the United States Patent and Trademark Office must be mailed the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

## KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/521,868	PCT/CA03/01100	701826-056380

FORM PCT/DO/EO/905 (371 Formalities Notice)

#### Practitioner's Docket No. 701826-056380

#### **PATENT**

#### IN THE UNITED STATES RECEIVING OFFICE

International Application Number	International Filing Date	International Earliest Priority Date
PCT/CA2003/001100	22 July 2003 22.07.03	22 July 2002 22.07.02

TITLE OF INVENTION:

PROCESS FOR INCREASING THE YIELD OF LIPID

AND OMEGA-3 FATTY ACID IN SEAWEED CULTURE

APPLICANT FOR DO/US:

UNIVERSITÉ DE SHERBROOKE; UNIVERSITÉ LAVAL

AND RIVAL S.E.C.

**INVENTORS FOR DO/US:** 

TREMBLAY, Réjean; PERNET, Fabrice; and BOURGET,

Edwin

U.S. SERIAL NO.:

10/521,868

## CERTIFICATE OF MAILING

I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EV 653001171 US in an envelope addressed to MAIL-STOP PCTA Commissioner of Patents Box 1450,

Alexandria, VA 22313-1450.

Date: August 30, 2005

Linda M. Ginsberg

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

On January 21, 2005, Applicants filed the application under 35 U.S.C. 371 in the United States Designated/Elected Office for the above-referenced international application. Enclosed herewith please find:

- 1. Executed Declaration and Power of Attorney (8 pp.);
- 2. COPY-International Search Report (4 pp.);
- 3. Information Disclosure Statement with Forms PTO/SB/08 a and b (4 pp.); and
- 4. COPY-References B1 and C1-C6.

Applicants note that the surcharge for furnishing the oath or declaration late was previously paid with the January 21, 2005 national entry. Accordingly, Applicants believe that no fee is due at this time.

Please charge any fees that may be due in connection with this matter to Deposit Account No. 50-0850.

#### **PATENT**

#### IN THE UNITED STATES RECEIVING OFFICE

International Application Number	International Filing Date	International Earliest Priority Date
PCT/CA2003/001100	22 July 2003 22.07.03	22 July 2002 22.07.02

TITLE OF INVENTION:

PROCESS FOR INCREASING THE YIELD OF LIPID AND OMEGA-3 FATTY

ACID IN SEAWEED CULTURE

APPLICANT FOR DO/US: INVENTORS FOR DO/US: U.S. SERIAL NO.: UNIVERSITÉ DE SHERBROOKE; UNIVERSITÉ LAVAL AND RIVAL S.E.C. TREMBLAY, Réjean; PERNET, Fabrice; and BOURGET, Edwin

10/521,868

Please contact the undersigned if any additional information is needed.

Date: August 30, 2005

Respectfully submitted,

David S. Resnick (Reg. No. 34,235)

**NIXON PEABODY LLP** 100 Summer Street Boston, MA 02110

(617) 345-6057